

# 1<sup>st</sup> STOP CHECKLIST: INVESTMENT CLUB

## REQUIREMENTS & REGULATIONS

- [] Generally, there are no special state-level licenses for investment clubs. However, depending on the type and manner of investing, you may need to register with the **Ohio Department of Commerce's Division of Securities**. Contact the Division at **(614) 644-7381**. Information is also on-line at [www.com.ohio.gov/secu/](http://www.com.ohio.gov/secu/). ***\*Remember to check local government agencies.\****
  
- [] Ohio's laws regarding **SECURITIES DEFINITION** can be found in **Ohio Revised Code (ORC) Section 1707**. The ORC can be found in your local library or on-line at <http://codes.ohio.gov/orc/1707.01> .

**For more help, contact your local Small Business Development Center (SBDC).**

[www.ohiosbdc.ohio.gov](http://www.ohiosbdc.ohio.gov)

**\*REMEMBER TO CHECK LOCAL GOVERNMENT AGENCIES\***

**THIS CHECKLIST IS ABOUT STATE REQUIREMENTS.**