REQUIREMENTS & REGULATIONS

[ ] Generally, there are no special state-level licenses for investment clubs. However, depending on the type and manner of investing, you may need to register with the Ohio Department of Commerce’s Division of Securities. Contact the Division at (614) 644-7381. Information is also on-line at www.com.ohio.gov/secu/. *Remember to check local government agencies.*

[ ] Ohio's laws regarding SECURITIES DEFINITION can be found in Ohio Revised Code (ORC) Section 1707. The ORC can be found in your local library or on-line at http://codes.ohio.gov/orc/1707.01.

For more help, contact your local Small Business Development Center (SBDC).

www.ohiosbdc.ohio.gov

*REMEMBER TO CHECK LOCAL GOVERNMENT AGENCIES*

THIS CHECKLIST IS ABOUT STATE REQUIREMENTS.